

Statistical Estimation in the Spiked Tensor Model via the Quantum Approximate Optimization Algorithm

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Spiked Tensor: a statistical model for learning tensor data

$$\text{Given } \mathbf{Y} = \frac{\lambda_n}{n^{q/2}} \mathbf{u}^{\otimes q} + \frac{1}{\sqrt{n}} \mathbf{W} \in (\mathbb{R}^n)^{\otimes q}$$

$$\text{Signal } \mathbf{u} \sim \text{Unif}(\{\pm 1\}^n) \quad \text{Noise } W_{i_1, \dots, i_q} \sim \mathcal{N}(0, 1)$$

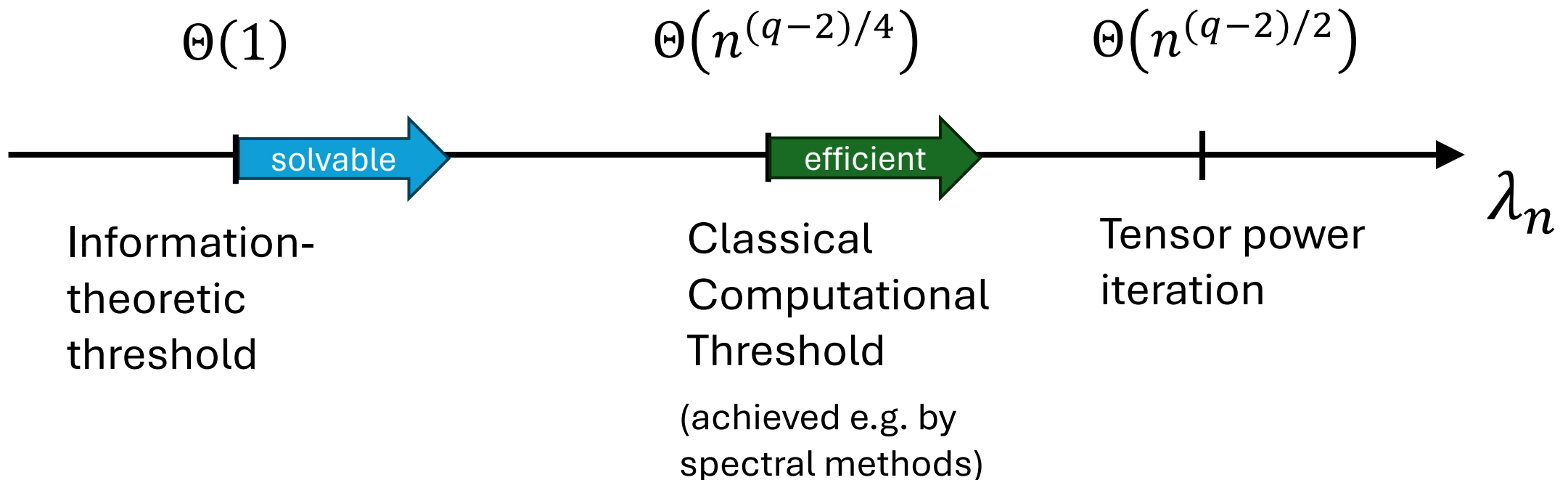
Goal: (weak) recovery of the hidden signal

$$\text{Find } \hat{\mathbf{u}}(\mathbf{Y}) \text{ such that } \lim_{n \rightarrow \infty} \mathbb{E} \left[\frac{1}{n^2} \langle \hat{\mathbf{u}}(\mathbf{Y}), \mathbf{u} \rangle^2 \right] > 0$$

Spiked Tensor problem has a huge **statistical-computational gap!**

$$Y = \frac{\lambda_n}{n^{q/2}} \mathbf{u}^{\otimes q} + \frac{1}{\sqrt{n}} \mathbf{W}$$

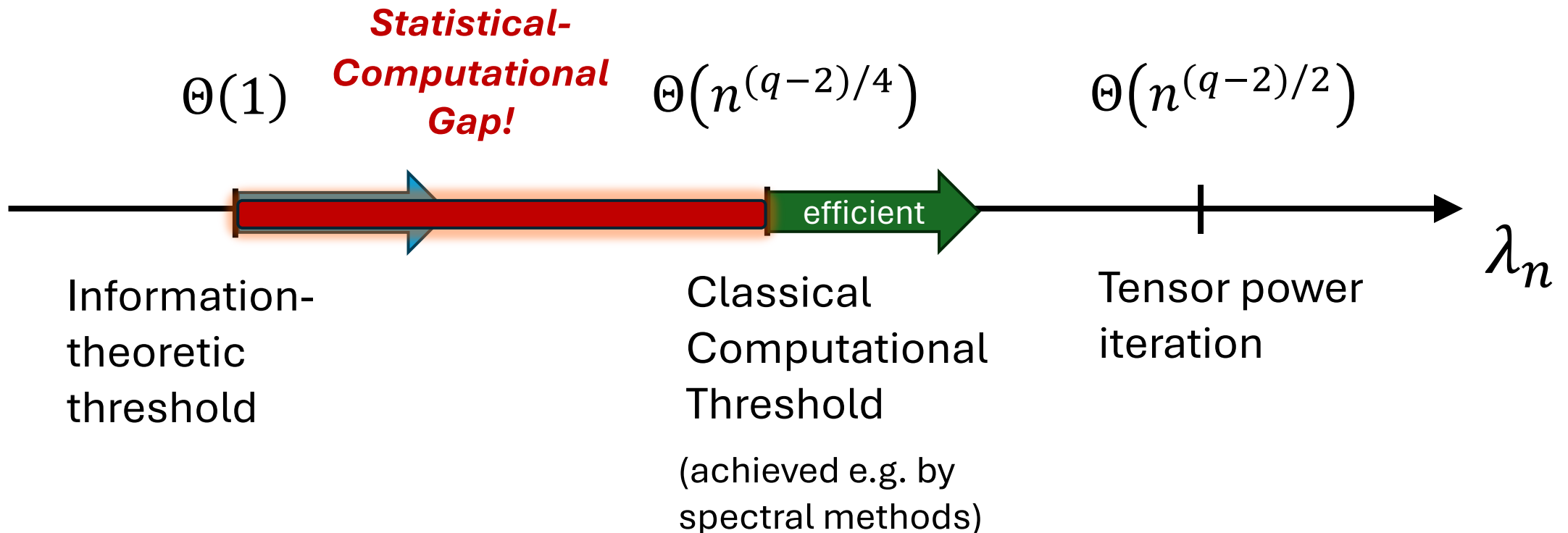
Signal-to-Noise Ratio (λ_n) Thresholds for Recovery



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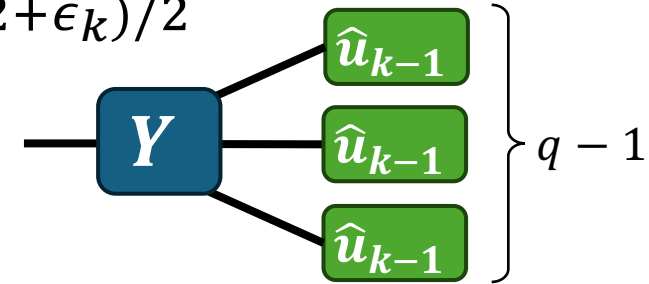
Signal-to-Noise Ratio (λ_n) Thresholds for Recovery



Classical algorithms for spiked tensor

- **Tensor power iteration** (k -step) works if $\lambda_n \gtrsim n^{(q-2+\epsilon_k)/2}$

$$\hat{\mathbf{u}}_k \propto \mathbf{Y} [\mathbf{u}_{k-1}^{\otimes q-1}] \quad \text{with} \quad \hat{\mathbf{u}}_0 \sim \text{Unif}(\{\pm 1\}^n)$$



- **Spectral method** (with tensor unfolding) works if $\lambda_n \gtrsim n^{(q-2)/4}$

$$\text{mat}(\mathbf{Y}) = n^{\lfloor q/2 \rfloor} \times n^{\lfloor q/2 \rfloor} \text{ matrix}$$

Find largest singular vector of $\text{mat}(\mathbf{Y})$, which will have nontrivial overlap with $\text{vec}(\mathbf{u}^{\otimes \lfloor q/2 \rfloor})$

- **Maximum Likelihood Estimator** works when $\lambda_n \gtrsim \sqrt{q \log q}$

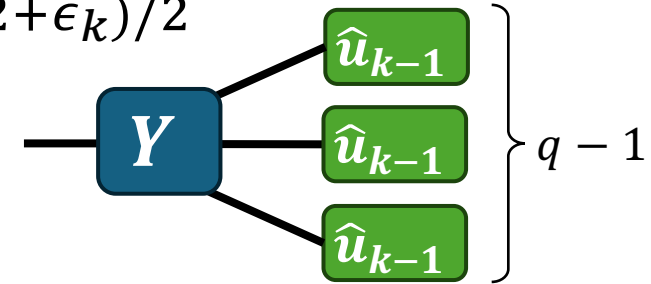
$$\hat{\mathbf{u}}_{\text{MLE}} = \arg \max_{\mathbf{z}} C_{\mathbf{Y}}(\mathbf{z}) \quad C_{\mathbf{Y}}(\mathbf{z}) = \langle \mathbf{Y}, \mathbf{z}^{\otimes q} \rangle = \sum_{i_1, \dots, i_q} Y_{i_1, \dots, i_q} z_{i_1} \cdots z_{i_q}$$

MLE is **inefficient** to compute!! NP-hard in general to find exactly

Classical algorithms for spiked tensor

- Tensor power iteration (k -step) works if $\lambda_n \gtrsim n^{(q-2+\epsilon_k)/2}$

$$\hat{\mathbf{u}}_k \propto \mathbf{Y}[\hat{\mathbf{u}}_{k-1}^{\otimes q-1}] \quad \text{with } \hat{\mathbf{u}}_{k-1} \sim \text{Unif}(\{\pm 1\}^n)$$



Can quantum algorithms overcome the gap?

works if $\lambda_n \gtrsim n^{(q-2)/4}$

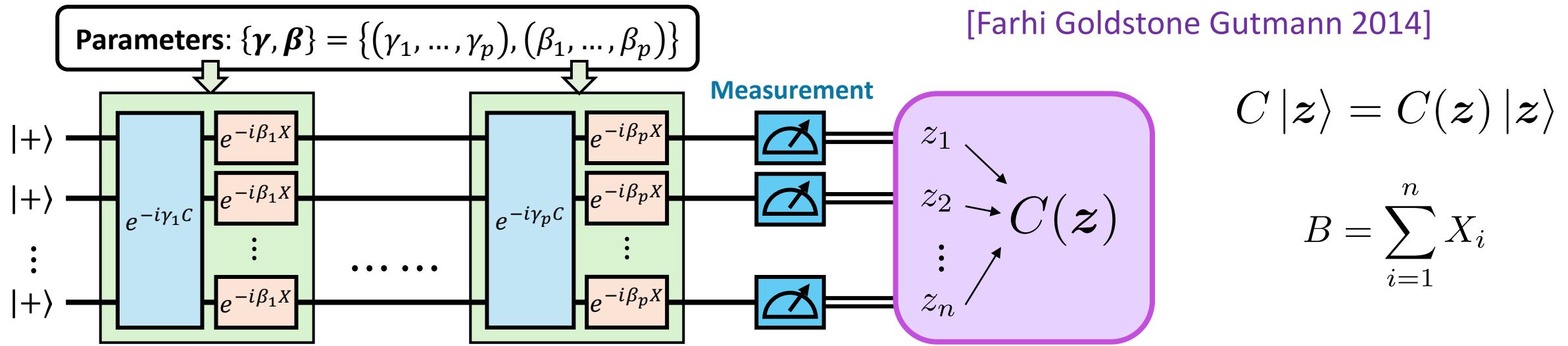
Find largest singular vector of $\text{mat}(\mathbf{Y})$, which will have nontrivial overlap with $\text{vec}(\mathbf{u}^{\otimes \lceil q/2 \rceil})$

then $\lambda_n \gtrsim \sqrt{q \log q}$

$$\mathbf{u}_{\text{MLE}} = \arg \max_{\mathbf{z}} C_{\mathbf{Y}}(\mathbf{z}) \quad C_{\mathbf{Y}}(\mathbf{z}) = \langle \mathbf{Y}, \mathbf{z}^{\otimes q} \rangle = \sum_{i_1, \dots, i_q} Y_{i_1, \dots, i_q} z_{i_1} \cdots z_{i_q}$$

MLE is **inefficient** to compute!! NP-hard in general to find exactly

Quantum Approximate Optimization Algorithm (QAOA)



$$|\gamma, \beta\rangle = e^{-i\beta_p B} e^{-i\gamma_p C} \dots e^{-i\beta_1 B} e^{-i\gamma_1 C} |+\rangle^{\otimes n}$$

Choose γ, β to maximize $\langle C \rangle$

- Simple & easy implementation (e.g. ions (2019), superconductors (2020) and atoms (2022))
- Cannot classically sample (“supremacy”) even @ $p=1$ [Farhi Harrow '16] [Krovi '22]
- **Guaranteed to get C_{\max} as depth $p \rightarrow \infty!$**

Our Result: QAOA for Spiked Tensor

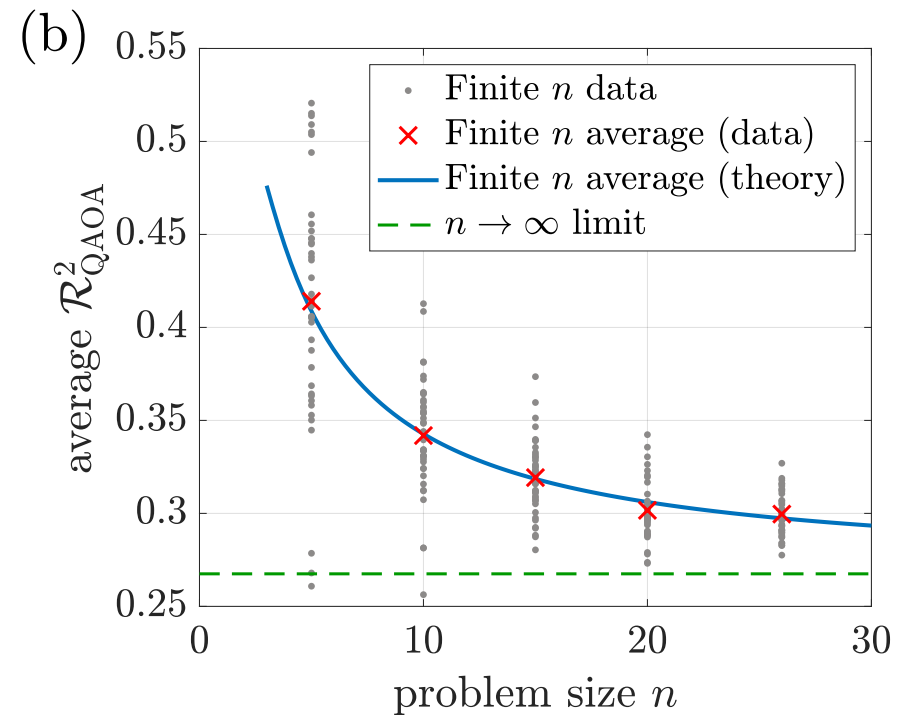
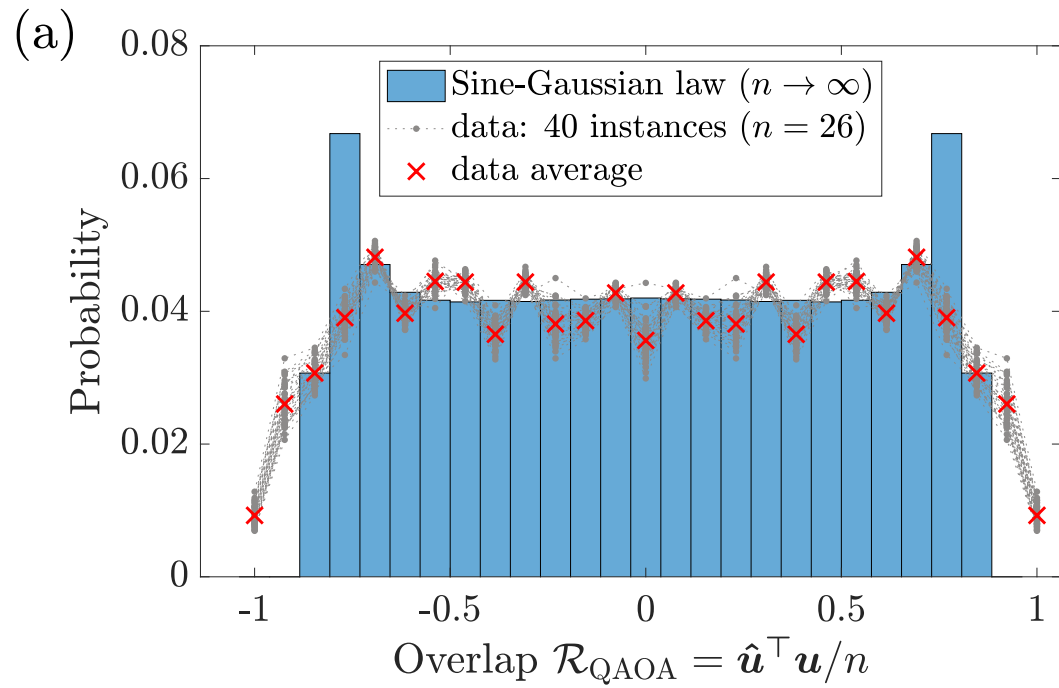
- Apply QAOA to approximately maximize $C_{\mathbf{Y}}(\mathbf{z}) = \langle \mathbf{Y}, \mathbf{z}^{\otimes q} \rangle$
- Suppose $\lim_{n \rightarrow \infty} \frac{\lambda_n}{n^{(q-2+\varepsilon_p)/2}} = \Lambda$ $\varepsilon_p = \begin{cases} \frac{q-2}{(q-1)^p-1}, & q > 2, \\ 1/p, & q = 2. \end{cases}$
- Let $\hat{\mathbf{u}} \sim |\boldsymbol{\gamma}, \boldsymbol{\beta}\rangle$ and $R_{\text{QAOA}} = \hat{\mathbf{u}}^T \mathbf{u}/n$ be its overlap with the signal, then

$$\mathcal{R}_{\text{QAOA}} \xrightarrow{d} a_p \sin \left[b_p \Lambda^{1/\varepsilon_p} G^{(q-1)^p} \right] \quad G \sim \mathcal{N}(0, 1)$$

$a_p(\boldsymbol{\gamma}, \boldsymbol{\beta}), b_p(\boldsymbol{\gamma}, \boldsymbol{\beta})$ can be calculated classically in $\tilde{O}(4^p)$ time

QAOA for Spiked Tensor: a Sine-Gaussian Law

Example numerical simulation at $p=1, q=2$
(1-step QAOA for spiked matrix)



Comparing QAOA and Power Iteration

Let $\lim_{n \rightarrow \infty} \frac{\lambda_n}{n^{(q-2+\varepsilon_p)/2}} = \Lambda$ $\varepsilon_p = \begin{cases} \frac{q-2}{(q-1)^p-1}, & q > 2, \\ 1/p, & q = 2. \end{cases}$

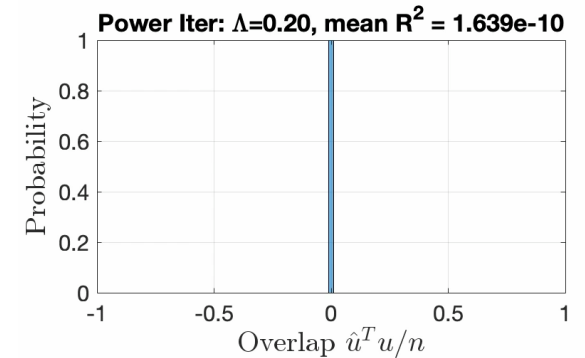
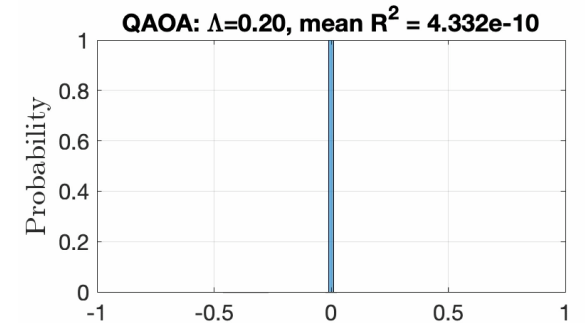
Note:
 $1/\varepsilon_p \sim \exp(p)$
 when $q > 2$

p-step QAOA $\mathcal{R}_{\text{QAOA}} \xrightarrow{d} a_p \sin \left[b_p \Lambda^{1/\varepsilon_p} G^{(q-1)^p} \right]$
 $\approx \left(|a_p b_p|^{\varepsilon_p} \Lambda \right)^{1/\varepsilon_p} G^{(q-1)^p}$

$G \sim \mathcal{N}(0, 1)$

quantum enhancement factor

p-step Power Iteration $\mathcal{R}_{\text{PI}} \xrightarrow{d} \sin \left[\tan^{-1} \left(\Lambda^{1/\varepsilon_p} G^{(q-1)^p} \right) \right]$
 $\approx \Lambda^{1/\varepsilon_p} G^{(q-1)^p}$



QAOA effectively improves the recovery threshold vs. power iteration

Quantum enhancement factor

$$|a_p b_p|^{\epsilon_p}$$

$p \backslash q$	2	3	4	5	6	7
1	0.8578	1.0505	1.2131	1.3562	1.4857	1.6047
2	0.9663	1.0505	1.1916	1.2882	1.4167	1.5162
3	1.0204	1.0314	1.1615	1.2555	1.3844	1.4917
4	1.0487	1.0144	1.1419	1.2447	1.3795	1.4858
5	1.0631	1.0063	1.1327	1.2411	1.3770	1.4845
6	1.0697	1.0013	1.1297	1.2399	1.3743	1.4842
7	1.0719					

Effective recovery thresholds:

$$p\text{-step QAOA: } \lambda_n \simeq |a_p b_p|^{-\epsilon_p} n^{(q-2+\epsilon_p)/2}$$

$$p\text{-step Power iteration: } \lambda_n \simeq n^{(q-2+\epsilon_p)/2}$$

$$\text{Classical computational threshold: } \lambda_n \propto n^{(q-2)/4}$$

$$p\text{-step QAOA with tensor unfolding: } \lambda_n \simeq |a_p b_p|^{-\epsilon_p} n^{(q-2+q/p)/4}$$

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For more details, see
Poster # 94828
 Wednesday 11am – 2pm
 December 11

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