



UNIVERSITY OF
ILLINOIS
URBANA - CHAMPAIGN



Evaluating Latent Space Robustness and Uncertainty of EEG-ML Models under Realistic Distribution Shifts

Neeraj Wagh¹, Jionghao Wei², Samarth Rawal³, Brent Berry⁴, Yogatheesan Varatharajah^{1,2,4}

¹ Dept. of Bioengineering, University of Illinois, Urbana, IL, USA

² Dept. of Electrical & Computer Engineering, University of Illinois, Urbana, IL, USA

³ Carle Illinois College of Medicine, University of Illinois, Urbana, IL, USA

⁴ Dept. of Neurology, Mayo Clinic, Rochester, MN, USA

Real-world Robustness of EEG-ML Models

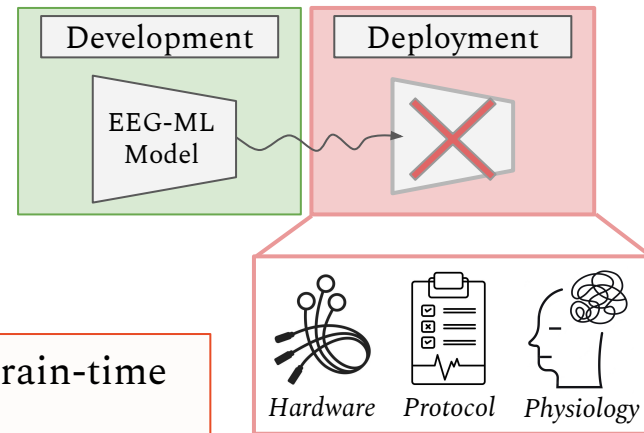
- EEG is a versatile tool for recording brain activity
 - Wide range of applications based on EEG and ML
- EEG-ML models fail in deployment¹
 - Curated datasets, complex real-world shifts
- Can we predict deployment failures at train-time?
 - Existing approaches require data from target settings²
- **Contribution: Approach to predict deployment failures at train-time**
 - Domain knowledge to model realistic EEG shifts
 - Develop robustness measures to assess impact of shifts
 - Train-time analysis predicts in-the-wild performance



Clinical-grade

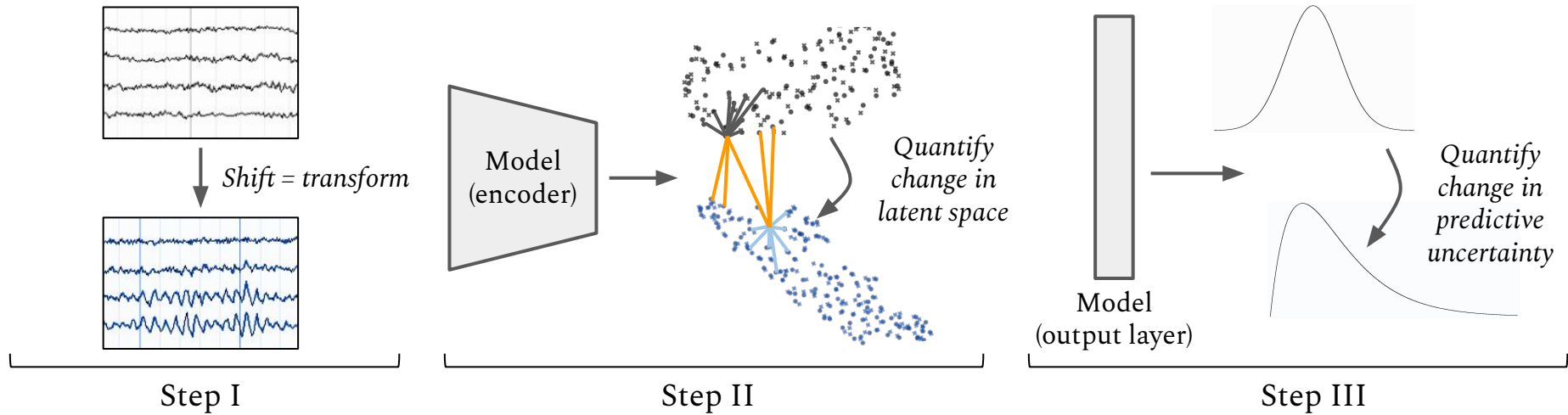


Consumer-grade



1. Xu, Lichao, et al. "Cross-dataset variability problem in EEG decoding with deep learning." *Frontiers in human neuroscience* 14 (2020): 103.
2. Saria, Suchi, and Adarsh Subbaswamy. "Tutorial: safe and reliable machine learning." *arXiv preprint arXiv:1904.07204* (2019).

Evaluating Robustness to Realistic Distribution Shifts



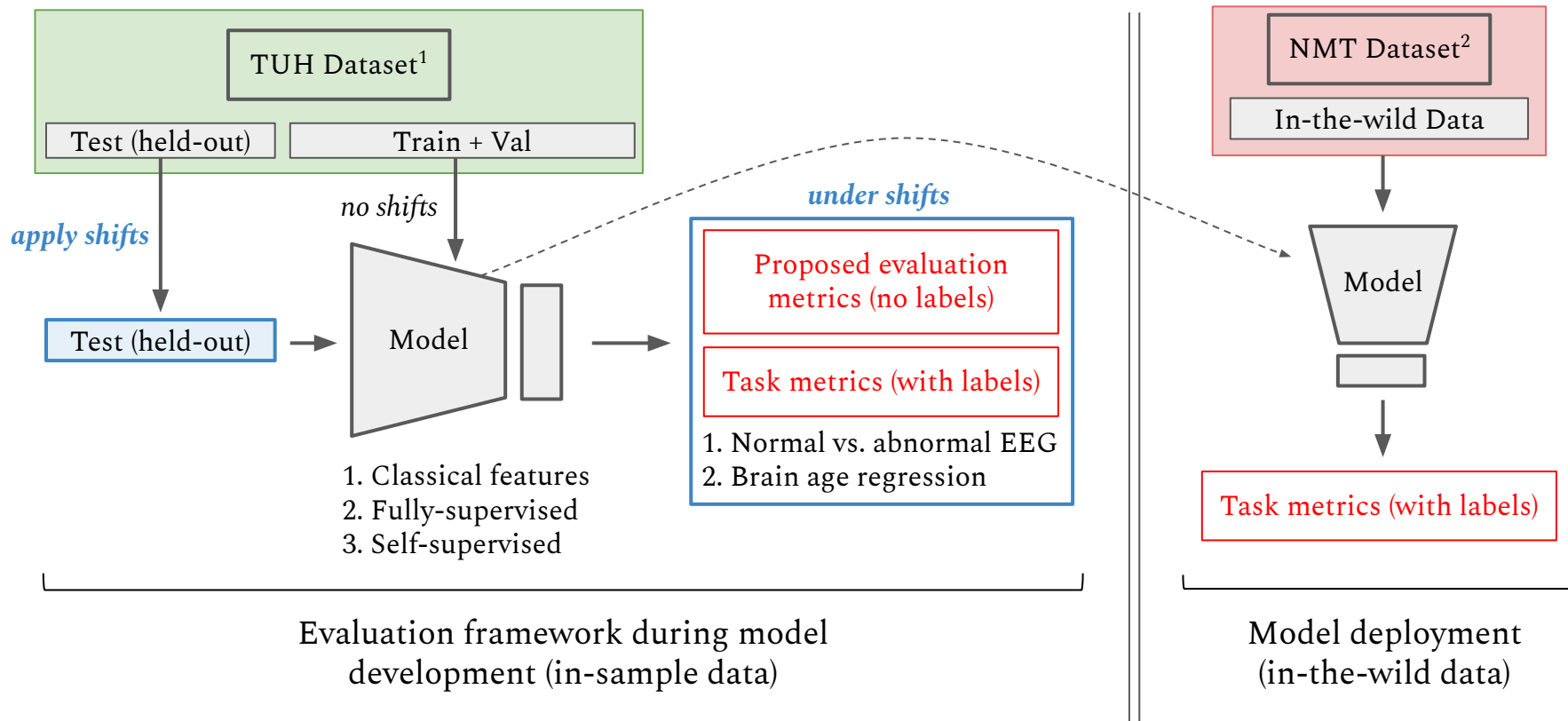
- **Step I:** Capture realistic EEG shifts as data transforms
 - Domain knowledge \rightarrow effect of shift¹ \rightarrow raw data transform
- **Step II:** Quantify change in the encoder's latent space
 - Neighboring points \rightarrow graph² \rightarrow graph-based measure
- **Step III:** Quantify change in predictive uncertainty at output
 - Monte Carlo dropout³-based measure

1. Kappenman, Emily S., and Steven J. Luck. "The effects of electrode impedance on data quality and statistical significance in ERP recordings." *Psychophysiology* 47.5 (2010): 888-904.

2. Poklukar, Petra. et al. "Delaunav component analysis for evaluation of data representations." *arXiv preprint arXiv:2202.06866* (2022).

3. Gal, Yarin, and Zoubin Ghahramani. "Dropout as a bayesian approximation: Representing model uncertainty in deep learning." *International Conference on Machine Learning*. PMLR, 2016.

Experimental Setup: In-sample and Out-of-sample Evaluations

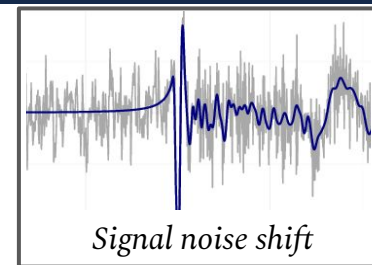
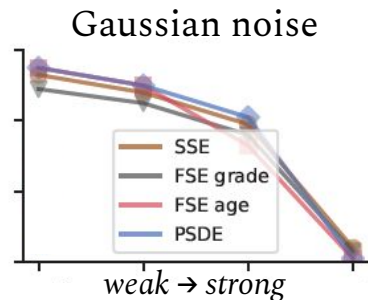
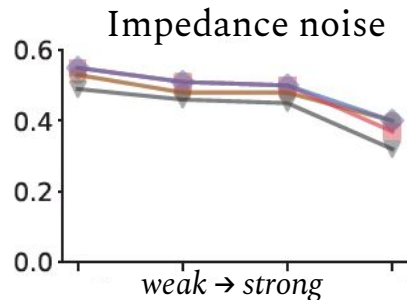
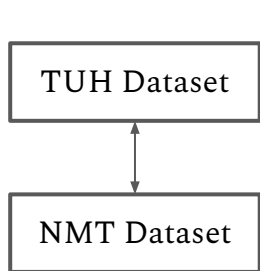


1. Obeid, Iyad, and Joseph Picone. "The temple university hospital EEG data corpus." *Frontiers in neuroscience* 10 (2016): 196.

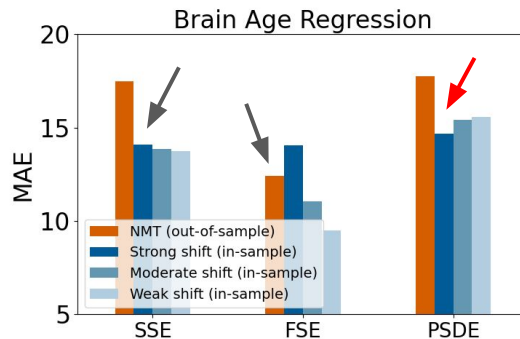
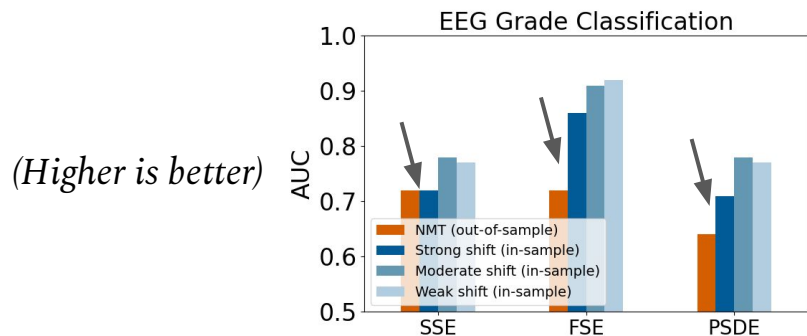
2. Khan, Hassan Aqeel, et al. "The NMT Scalp EEG Dataset" *Frontiers in Neuroscience* 15 (2021).

Strong Shifts During Development Predict Out-of-sample Performance

- Latent space robustness measure



- Task performance



- Impact of strong shifts in development predicts in-the-wild performance

Future Directions

- Modeling additional EEG shifts
 - Physiological
 - Clinical protocols
- Extend approach to other healthcare data modalities
 - Imaging
 - Text
- How can we mitigate changes due to realistic shifts?
 - Training with shifts
 - Adversarial training
- Community benchmark for robustness
 - Establish robustness profiles of popular models

Code & pre-print

