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# TNASP: A Transformer-based NAS Predictor with a Self-evolution Framework

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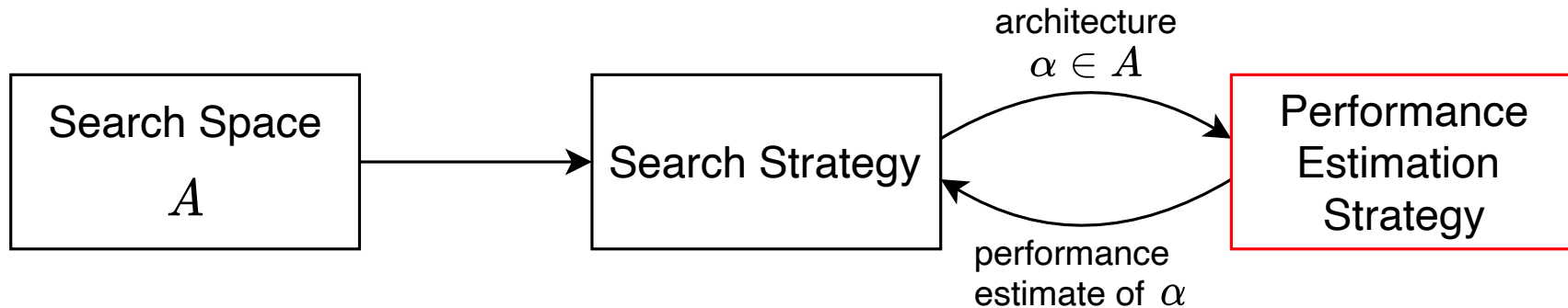
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# 1. Background & Motivation

## 1.1 Neural Architecture Search (NAS)

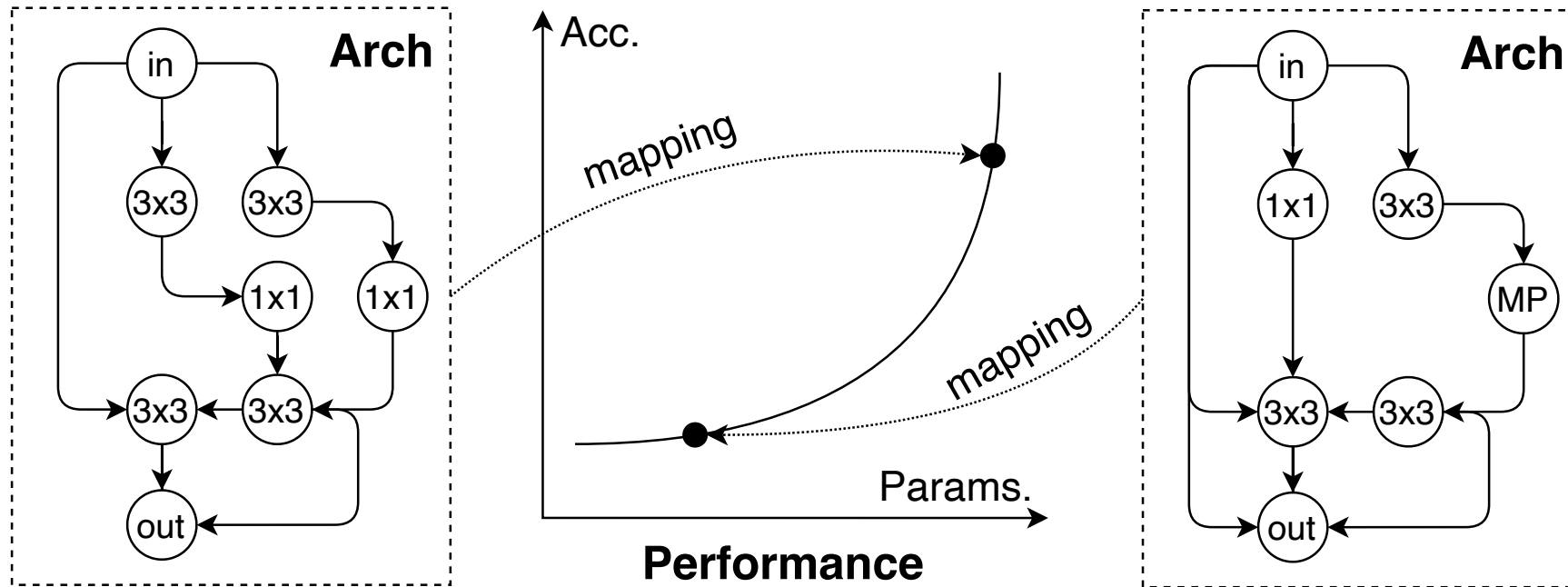
- Three major elements of NAS:
  - Search Space, Search Strategy, and Performance Estimation Strategy<sup>[1]</sup>
- One major problem :
  - Performance estimation stage of each sub-architecture takes too much time !



# 1. Background & Motivation

## 1.2 Predictor-based NAS methods:

- Objective: Learn a mapping relationship between architectures and their real performance
- Advantage: Largely reduce the search cost.



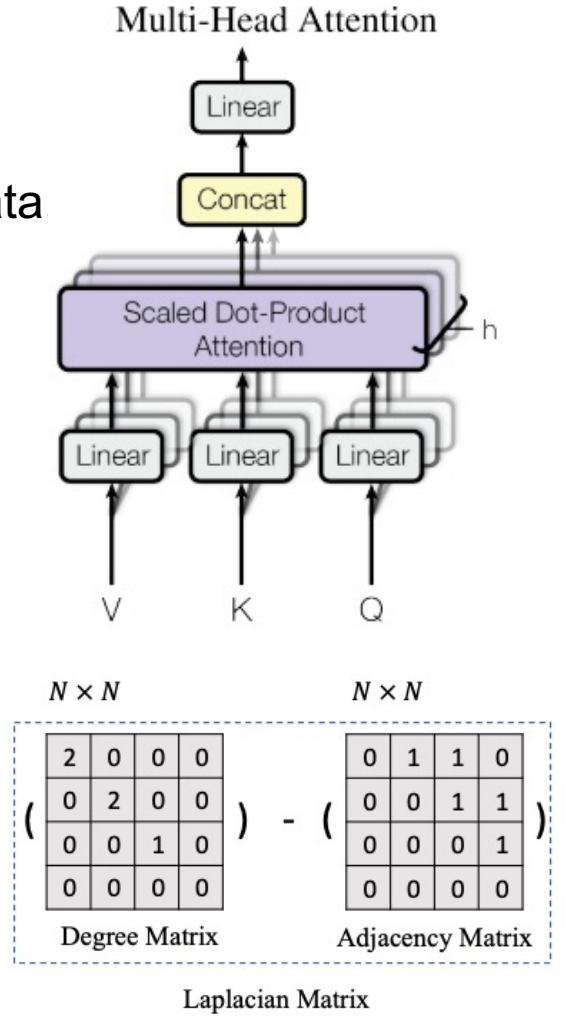
# 1. Background & Motivation

## 1.3 Previous works of predictor-based NAS methods:

- Training-free: Compute different metrics over graph topology information as feature encodings.
- Training-based (focus): Different DNN backbones for feature encodings → regression.
  - Sequence-based schemes:
    - NAO<sup>[2]</sup>, D-VAE<sup>[3]</sup>, BANANAS<sup>[4]</sup> and so on.
  - Graph-based methods:
    - BONAS<sup>[5]</sup>, InterpretableNAS<sup>[6]</sup>, CTNAS<sup>[7]</sup> and so on.
- Drawbacks:
  - Feature Encodings over graph-structure data are not good enough.
  - Temporal evaluation information is ignored, which however, is useful.

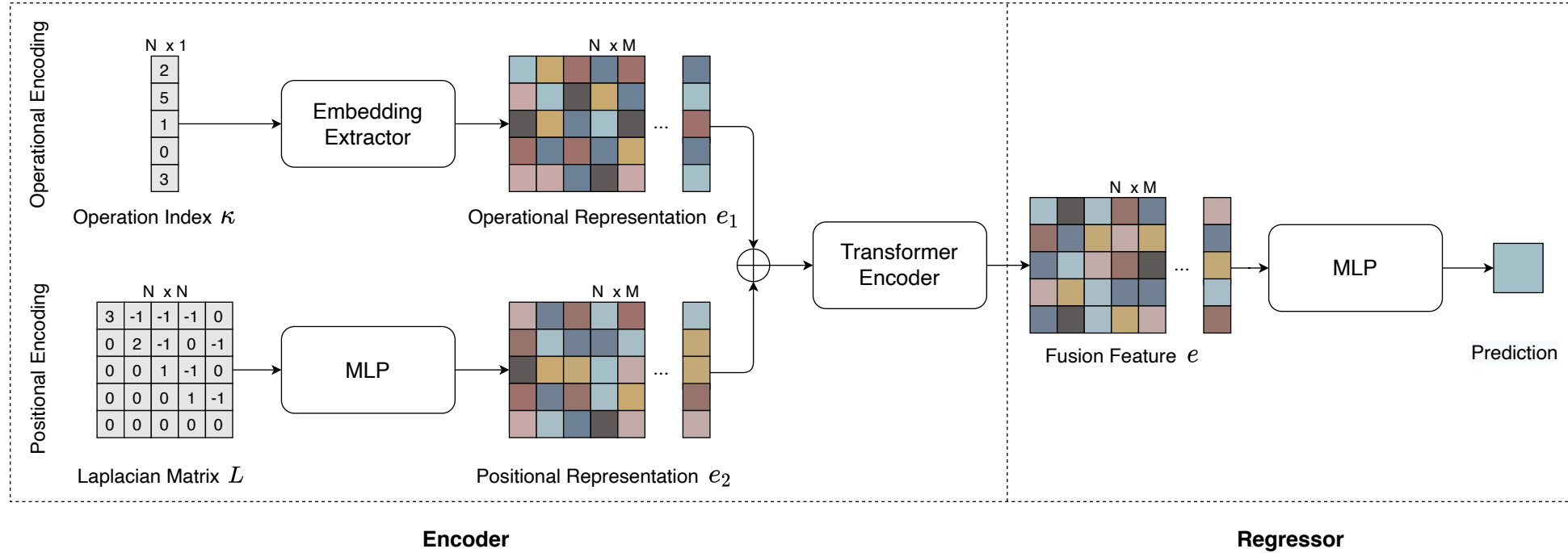
# 2. Contributions

- A Transformer-based **NAS** performance **Predictor (TNASP)** :
  - Use graph Laplacian matrix as the positional encoding.
  - Use multi-head self-attention mechanism to better encode features on graph data
- A generic **Self-Evolution (SE)** framework :
  - Leverage evaluation score as constraints to optimize.
  - Make full use of temporal evaluation information.
- Achieve state-of-the-art results on **4 benchmark search spaces**.



# 3. Method

## 3.1 A Transformer-based NAS Performance Predictor (TNASP) :



- **Components:** an encoder and a regressor
  - Encoder: 3-layer Transformer Encoder
  - Regressor: 2-layer MLP

# 3. Method

## 3.2 Self-evolution framework:

- Our formulation:

$$\begin{aligned} & \min_{\theta, \bar{y}} \sum_{i=1}^n \overset{\text{Training Loss}}{\|f_{\theta}(x_i) - y_i\|^2} + \alpha \sum_{j=1}^V \overset{\text{Evaluation Loss}}{\|f_{\theta}(v_j) - \bar{y}_j\|^2} \\ & \text{s.t.} \quad \frac{1}{V} \sum_{j=1}^V \|\hat{y}_j^{(t)} - \bar{y}_j\|^2 = e^{(t)}, t = 1, 2, 3, \dots, T \end{aligned} \tag{8}$$

Auxiliary variables as the proxy of ground truth labels.

Each previous evaluation as each constraint.

- Use Lagrange Multiplier to convert as a minimax optimization problem:

$$\begin{aligned} L(\theta, \bar{y}, \lambda) = & \min_{\theta, \bar{y}} \max_{\lambda} \sum_{i=1}^n \|f_{\theta}(x_i) - y_i\|^2 + \alpha \sum_{j=1}^V \|f_{\theta}(v_j) - \bar{y}_j\|^2 \\ & + \frac{1}{T} \sum_{t=1}^T \lambda^{(t)} \left( \frac{1}{V} \sum_{j=1}^V \|\hat{y}_j^{(t)} - \bar{y}_j\|^2 - e^{(t)} \right) \end{aligned}$$

# 3. Method

## 3.2 Self-evolution framework:

- Gradient-based iteratively updates:

$$\theta^{k+1} = \theta^k - \eta_{\theta} \frac{\partial L(\theta, \bar{y}^k, \lambda^k)}{\partial \theta} \quad (11)$$

$$\bar{y}^{k+1} = \bar{y}^k - \eta_{\bar{y}} \frac{\partial L(\theta^k, \bar{y}, \lambda^k)}{\partial \bar{y}} \quad (12)$$

$$\lambda^{k+1} = \lambda^k + \eta_{\lambda} \frac{\partial L(\theta^k, \bar{y}^k, \lambda)}{\partial \lambda} \quad (13)$$

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**Algorithm 1** Self-evolution Optimization Algorithm

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**Input:** Input training data  $x$ , input validation data  $v$ , input training target  $y$ , neural network  $f$ .

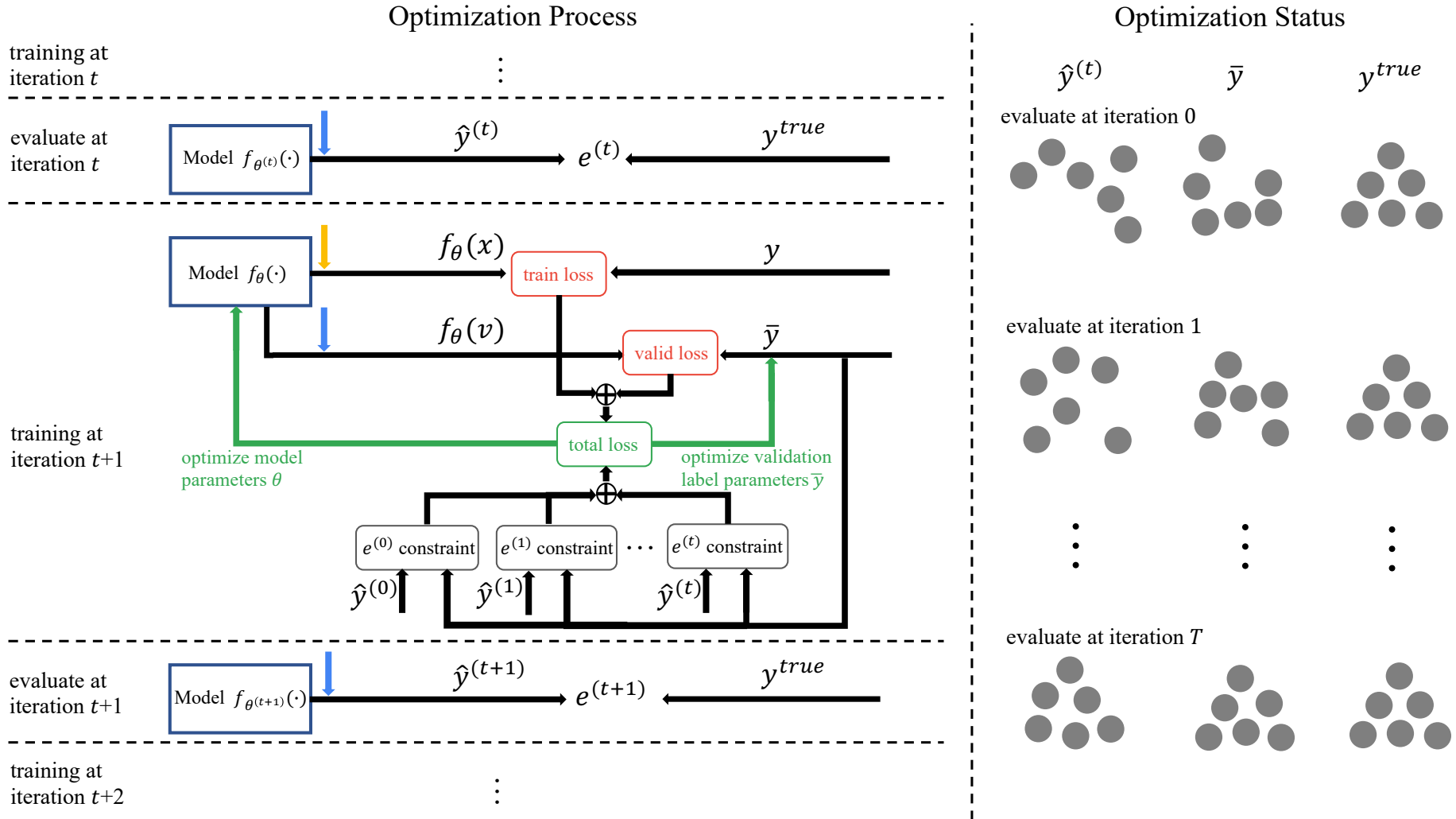
**Output:** Network parameters  $\theta$ , estimated target  $\bar{y}$ .

- 1: Optimize the network parameters  $\theta$  until convergence using normal training performed on the training dataset only.
- 2: **for**  $t = 1$  **to**  $T$  **do**
- 3:     Compute  $e^{(t)}$  according to Eq. (9) using predictor's prediction results on validation dataset.
- 4:     Add a new constraint:  $\frac{1}{V} \sum_{j=1}^V \|\hat{y}_j^{(t)} - \bar{y}_j\|^2 = e^{(t)}$  into Eq. (8)
- 5:     **while** not converged **do**
- 6:         Update  $\theta$  according to the Eq. (11)
- 7:         Update  $\bar{y}$  according to the Eq. (12)
- 8:         Update  $\lambda$  according to the Eq. (13)
- 9:     **end while**
- 10: **end for**
- 11: **return** Network parameters  $\theta$  and estimated targets  $\bar{y}$



# 3. Method

## 3.2 Self-evolution framework:



# 4. Experiments

## 4.1 Ranking results on NAS-Bench-101

Training Samples	100 (0.02%)	172 (0.04%)	424 (0.1%)	424 (0.1%)	4236 (1%)
Validation Samples	200	200	200	200	200
Test Samples	all	all	100	all	all
Neural Predictor <sup>†</sup> [44]	0.391	0.545	0.710	0.679	0.769
SPOS [17]	-	-	0.196*	-	-
FairNAS [9]	-	-	-0.232*	-	-
NAO <sup>‡</sup> [31]	0.501	0.566	0.704	0.666	0.775
ReNAS [47]	-	-	0.634*	0.657	0.816
RegressionNAS	-	-	0.430*	-	-
CTNAS [8]	-	-	0.751*	-	-
TNASP	<b>0.600</b>	<b>0.669</b>	<b>0.752</b>	<b>0.705</b>	<b>0.820</b>
Neural Predictor <sup>†</sup> + SE	0.458	0.577	0.713	0.684	0.773
NAO <sup>‡</sup> + SE	0.564	0.624	0.732	0.680	0.787
TNASP + SE	<b>0.613</b>	<b>0.671</b>	<b>0.754</b>	<b>0.722</b>	<b>0.820</b>

Table 1: Comparison with other methods on NAS-Bench-101. We calculate the Kendall’s Tau by predicting accuracy of all architectures in NAS-Bench-101. <sup>†</sup>: re-implemented by ourselves. <sup>‡</sup>: implemented based on their released model. \*: reported by CTNAS[8].

# 4. Experiments

## 4.2 Ranking results on NAS-Bench-201

Training Samples	78(0.05%)	156(1%)	469(3%)	781(5%)	1563(10%)
Validation Samples	200	200	200	200	200
Test Samples	all	all	all	all	all
Neural Predictor <sup>†</sup> [44]	0.343	0.413	0.584	0.634	0.646
NAO <sup>‡</sup> [17]	0.467	0.493	0.470	0.522	0.526
TNASP	<b>0.539</b>	<b>0.589</b>	<b>0.640</b>	<b>0.689</b>	<b>0.724</b>
Neural Predictor <sup>†</sup> + SE	0.377	0.433	0.602	0.652	0.649
NAO <sup>‡</sup> + SE	0.511	0.511	0.514	0.529	0.528
TNASP + SE	<b>0.565</b>	<b>0.594</b>	<b>0.642</b>	<b>0.690</b>	<b>0.726</b>

Table 2: Comparison with other methods on NAS-Bench-201. We calculate the Kendall’s Tau by predicting the accuracy of all architectures in NAS-Bench-201 and comparing them with ground truths. <sup>†</sup>: re-implemented by ourselves. <sup>‡</sup>: implemented based on their released model.

# 4. Experiments

## 4.3 Search results on DARTS search space

Architecture	Test Accuracy(%)	#Params.(M)	Search Cost(G·D)
DenseNet-BC [20]	96.54	25.6	-
PyramidNet-BC [18]	96.69	26.0	-
Random search baseline	96.71 ± 0.15	3.2	-
NASNet-A [54] + cutout	97.35	3.3	1,800
NASNet-B [54] + cutout	96.27	<b>2.6</b>	1,800
NASNet-C [54] + cutout	96.41	3.1	1,800
AmoebaNet-A [35] + cutout	96.66 ± 0.06	3.2	3,150
SNAS [46]	97.02	2.9	1.5
ENAS [34] + cutout	97.11	4.6	0.5
DARTS [27] + cutout	97.24 ± 0.09	3.4	4
NAONet [31]	97.02	28.6	200
PNAS [26] + cutout	97.17 ± 0.07	3.2	-
GHN [51] + cutout	97.16 ± 0.07	5.7	0.8
D-VAE [52]	94.80	-	-
NGE [23] + cutout	97.40	-	<b>0.1</b>
BONAS-A [37] + cutout	97.31	3.45	2.5
CTNAS [8] + cutout	97.41 ± 0.04	3.6	0.3
TNASP + cutout(avg)	<b>97.43 ± 0.04</b>	3.6 ± 0.1	0.3
TNASP + cutout(best)	<b>97.48</b>	3.7	0.3

Table 3: Comparison with other methods in DARTS [27] search space on CIFAR-10. "cutout": evaluate the searched cells using cutout [13] data augmentation. "G·D": GPU days.

# 4. Experiments

## 4.4 Search results on ProxylessNAS search space

Method	Params(M)	FLOPs(M)	Top-1(%)	Top-5(%)
FBNet-C [15]	5.5	375	74.9	92.1
Proxyless (GPU) [1]	7.0	457	75.1	92.5
SPOS [6]	5.4	472	74.8	-
RLNAS [17]	5.3	473	75.6	92.6
Neural Predictor [14]	6.4 *	536 *	$74.75 \pm 0.09$	-
NAO [10]	6.5	590	75.5	92.5
TNASP-A	5.0	433	75.1	92.3
TNASP-B	5.1	478	75.5	92.5
TNASP-C	5.3	497	<b>75.8</b>	<b>92.7</b>

Table 8: Comparison with other methods on ImageNet. \*: We compute these information by their released model structure.

# Reference:

- [1] Elsken T, Metzen J H, Hutter F. Neural architecture search: A survey[J]. The Journal of Machine Learning Research, 2019, 20(1): 1997-2017.
- [2] Luo R, Tian F, Qin T, et al. Neural architecture optimization[J]. arXiv preprint arXiv:1808.07233, 2018.
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- [4] White C, Neiswanger W, Savani Y. Bananas: Bayesian optimization with neural architectures for neural architecture search[J]. arXiv preprint arXiv:1910.11858, 2019, 1(2).
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- [6] Ru B, Wan X, Dong X, et al. Interpretable Neural Architecture Search via Bayesian Optimisation with Weisfeiler-Lehman Kernels[J]. arXiv preprint arXiv:2006.07556, 2020.
- [7] Chen Y, Guo Y, Chen Q, et al. Contrastive Neural Architecture Search with Neural Architecture Comparators[C]//Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition. 2021: 9502-9511.

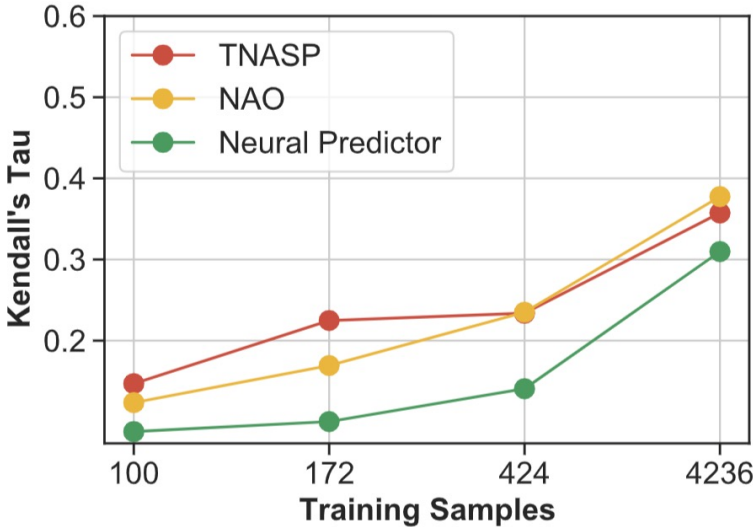
# Thank You !

# Extra Slides

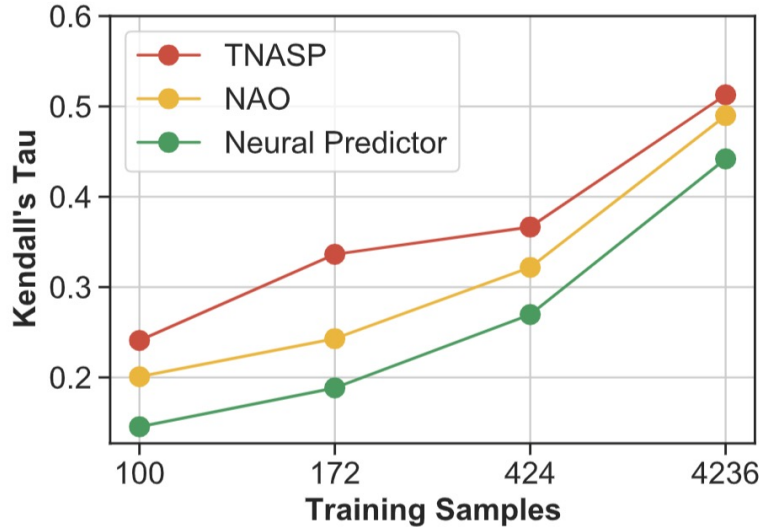


# 4. Experiments

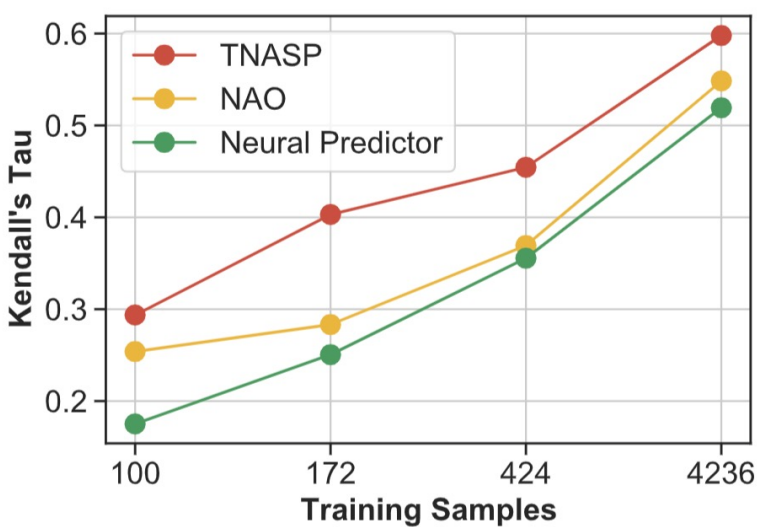
## 4.1 Ranking results on NAS-Bench-101



(a) Top 10% architectures



(b) Top 20% architectures



(c) Top 30% architectures

Figure 5: Ranking results over different top portions of good architectures.

# 4. Experiments

## 4.3 Visual results on DARTS search space

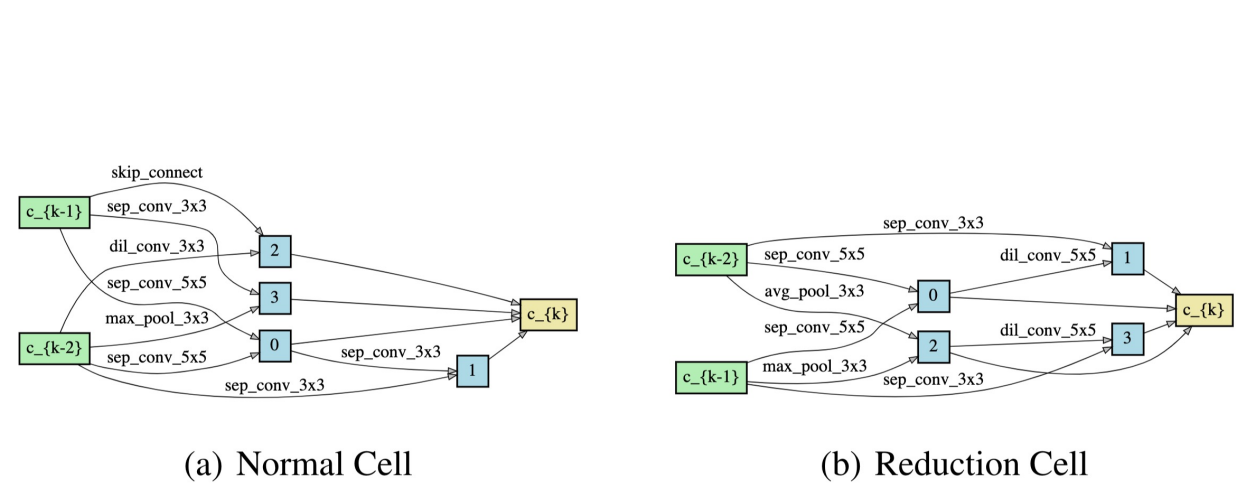


Figure 3: Our best searched normal cell and reduction cell.

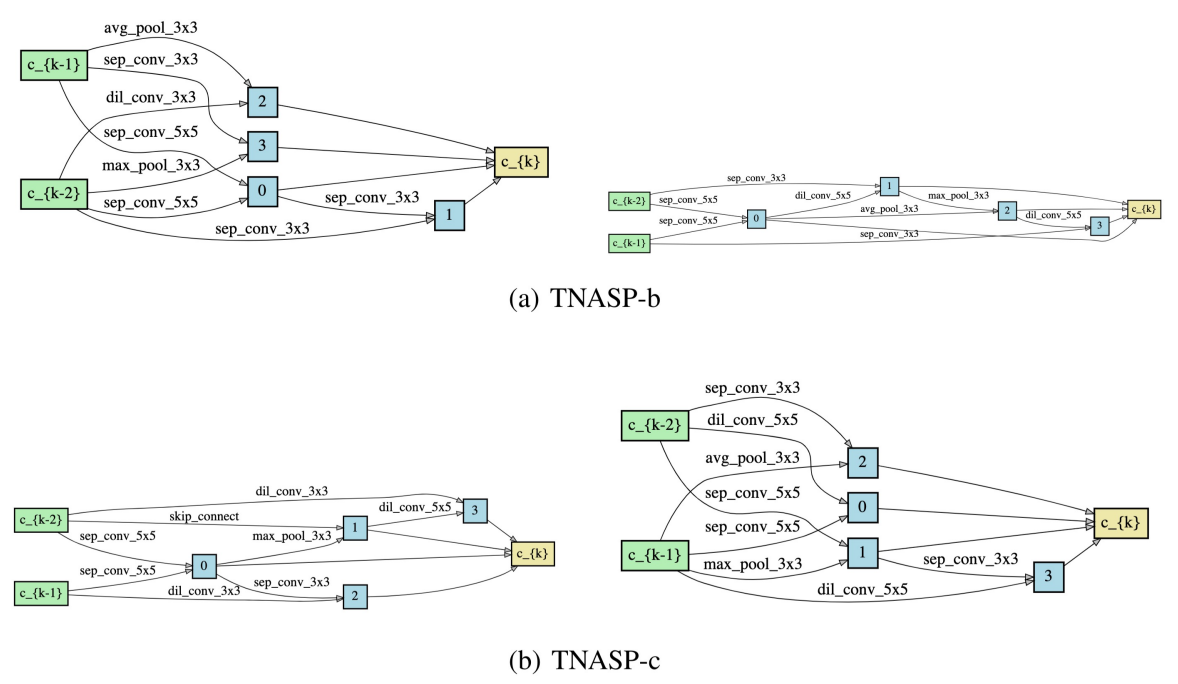


Figure 6: Other searched cells in DARTS search space. Left side are normal cells and right side are reduction cells.

# 4. Experiments

## 4.4 Visual results on ProxylessNAS search space

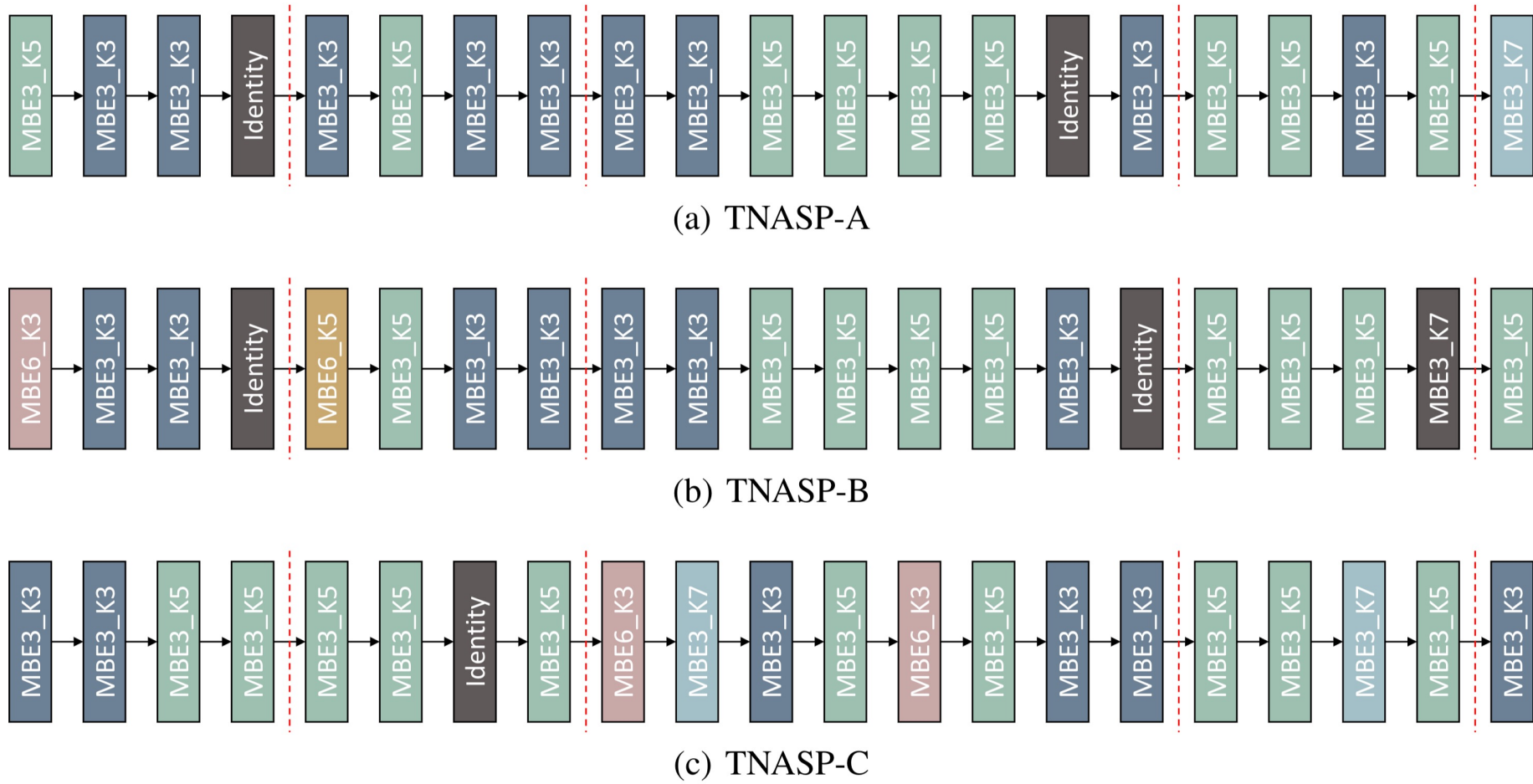


Figure 7: Our searched architectures in MobileNet-like search space.